



# Using Data to Improve Care In The NORA Setting



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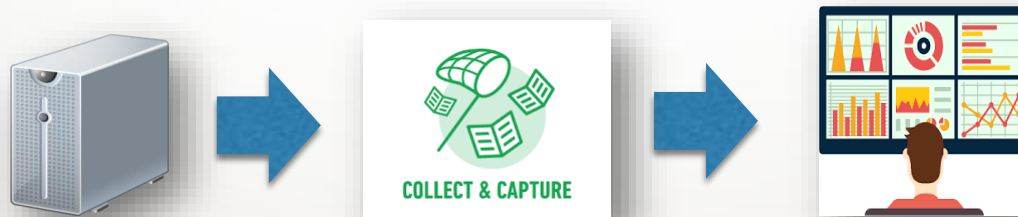
# Disclosures

No financial relationships with the manufacturers of health care products.

- Currently serving on
  - AQI Data Definitions Committee
  - AQI Data Use Committee
  - AQI Registry Operations Committee
  
- Prior support from
  - Support from NIH R01 EB020666-01A1 (Identification, Extraction and Display of Clinical Data Patterns with Application to Anesthesia Workflows)
  - Support from NSF 1526014 (Analysis and Tools for Auditing)
  - Funding from IBM as part of the CMS Artificial Intelligence Health Outcomes Challenge

# Learning Objectives

- Describe how data can be extracted from the electronic health care record
- Understand the role of 3<sup>rd</sup> party systems in generating data for quality
- Review results from analyses of large NORA data sets





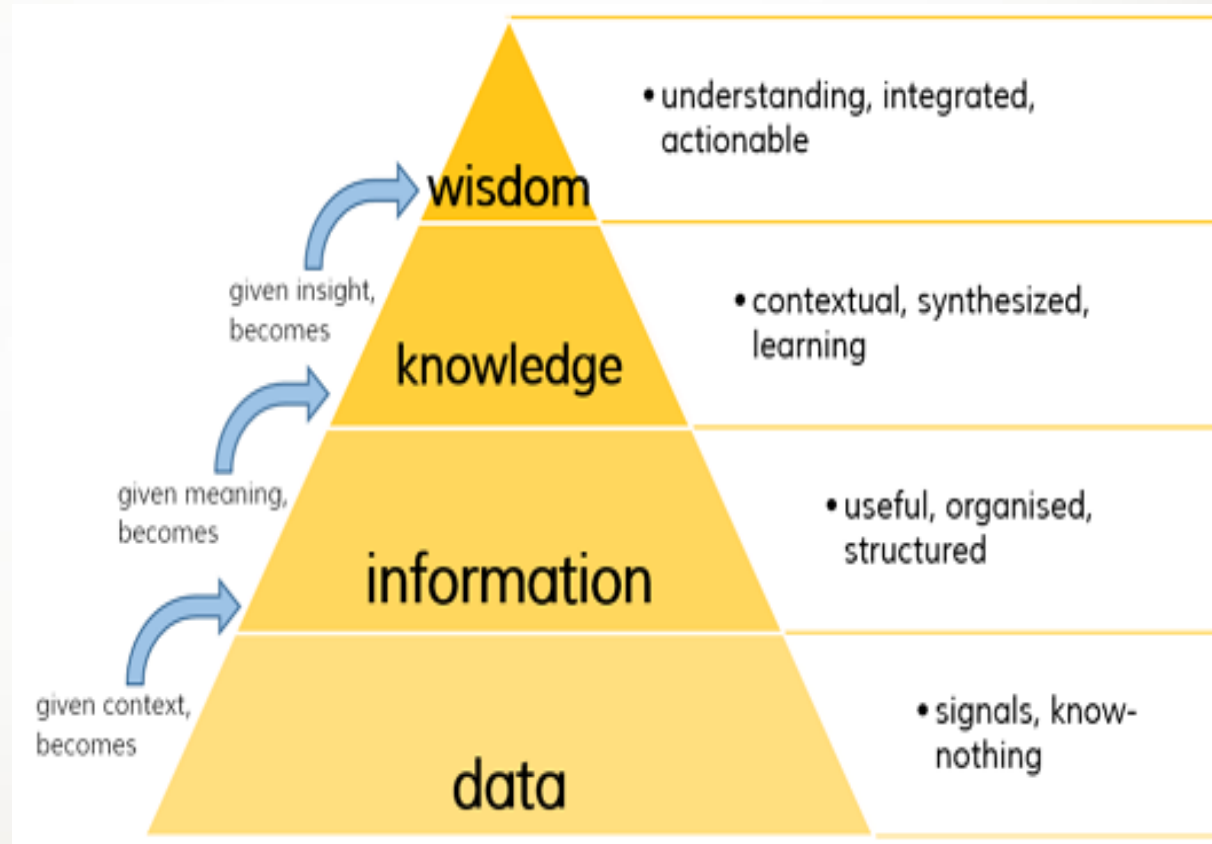
# Our Data Conundrum







# Our Data Conundrum



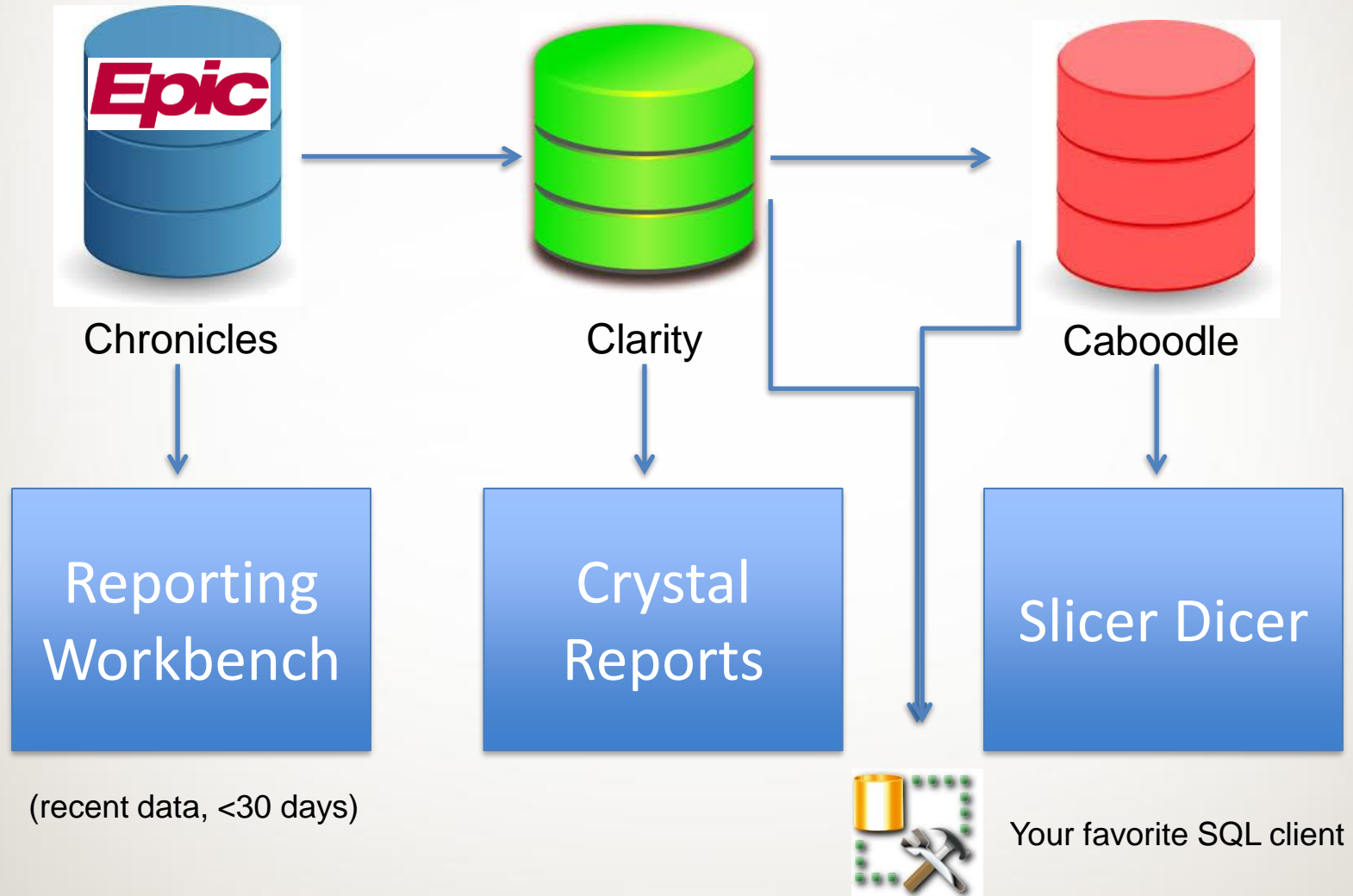
***...how can we make our data more useful?***

# Build a Data Model

- VUMC Perioperative Data Warehouse Model
  - VPIMS + Clarity + Caboodle
  - Singular representation of cases from 2000 – today
    - Patient: Demographics, comorbidities
    - Case: Times, meds, fluids, staff, etc
    - Outcomes: PONV, AKI, etc
    - Admission: Length of stay, mortality
  - Requires a lot of mapping and validation
- Other models: MPOG, NACOR, Caboodle



# Reporting Platforms





# An Example

- How many times did we lose an airway in GI the past 30 days?
  - Defined as any  $SpO_2 < 50\%$



Chronicles

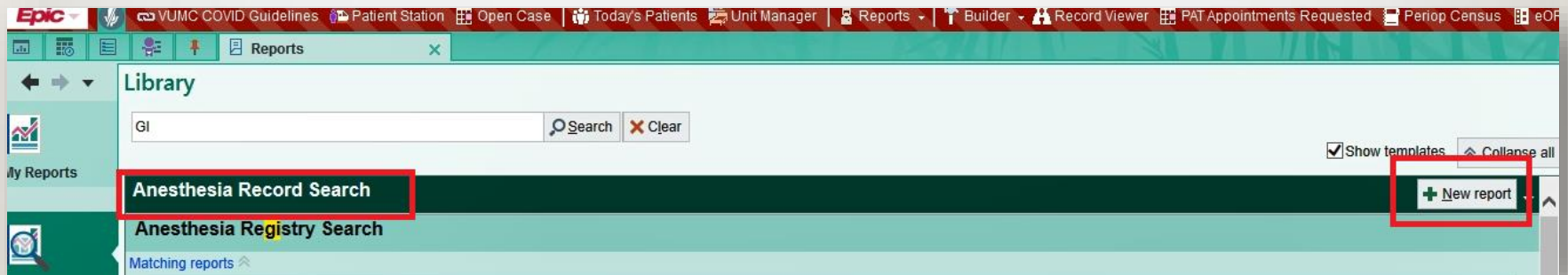


Reporting  
Workbench





# Create a New Report



# Add location and dates

**Criteria** | Display | Appearance | Summary | Print Layout | Toolbar | Override | General

### Find Anesthesia Records ⓘ

**Find Criteria**  🔍

🕒 **Date Range** From: T-30 (3/20/2022) To: T (4/19/2022) ✎

#### Location

	Location	
1	VUMC VUH GI	🔍
2	VUMC OHO NORTH GI LAB	
3		🔍

Criterion Logic **OR**



# Add SpO2 < 50%

Report Settings - Anesthesia Records In GI With SpO2 <= 50% (Last 30 Days) [6204045]

Criteria Display Appearance Summary Print Layout Toolbar Override General

Find Anesthesia Records ⓘ

Find Criteria Enter a search term, or click the search icon to browse available criteria 🔍

🕒 Date Range From: T-30 (3/20/2022) To: T (4/19/2022) ✎

**Location** ⬆️ ⓘ 🗑️

	Location
1	VUMC VUH GI
2	VUMC OHO NORTH GI LAB
3	

Criterion Logic OR

**Flowsheet values** ⬆️ ⓘ 🗑️

Row		Relationship	Value
1	ANESTHESIA PULSE OXIMETRY 🔍	Less than or equal to	50
2			

Criterion Logic OR



# Completed Report

Report Settings - Anesthesia Records In GI With SpO2 <= 50% (Last 30 Days) [6204045]

Criteria Display Appearance Summary Print Layout Toolbar Override General

Find Anesthesia Records ⓘ

Find Criteria

🕒 Date Range From: T-30 (3/20/2022) To: T (4/19/2022) ✎

**Location** ⌵ ⓘ 🗑

VUMC VUH GI OR  
VUMC OHO NORTH GI LAB

**Flowsheet values** ⌵ ⓘ 🗑

Row: ANESTHESIA PULSE OXIMETRY and Value: Less than or equal to 50

**Anesthesia record is deleted** ⌵ ⓘ 🗑

No

**Anesthesia episode contexts** ⌵ ⓘ 🗑

Anesthesia

Report Logic AND

Show search summary

▶ Run 💾 Save 💾 Save As ⏮ Restore ✖ Close



**Epic** VUMC COVID Guidelines Patient Station Open Case Today's Patients Unit Manager Reports Builder Record Viewer PAT Appointments Requested Periop Census eORBoard SUP - Daily JONATHAN W. Log Out

**Reports**

**Anesthesia Records In GI With SpO2 <= 50% (Last 30 Days) [18567321] as of Tue 4/19/2022 10:40 AM**

Preprocedure Intraprocedure Postprocedure Follow-up Orders Procedures

Detail List - Original

Filter Re-run Report Select All

Date	Patient Name	Diagnosis	Procedure	Anesthesiologist	Pre Note	Post Note
03/23/22		Chronic pancreatitis, unspecified pancreatitis type (CMS/HCC)	ESOPHAGOGASTRODUODENOSCOPY WITH ENDOSCOPIC ULTRASOUND (N/A )			
04/07/22		1 Odynophagia	EGD (N/A Esophagus)			
04/18/22		Encounter for screening colonoscopy	COLONOSCOPY (N/A )			

**Anesthesia Report**

88.5 kg (195 lb) Weight 34.6 BMI 3 ASA Status

**Intraprocedure Grid/Graph**

Anesthesia Timeline Intra-op Flowsheet Data

Vitals

- NIBP (Mean)
- SpO2
- Pulse (SpO2)
- Pulse
- HR

propofol 10 mg/mL 100 mg  
propofol Infusion mcg/kg/min 106.2 mg  
lidocaine PF 20 mg/mL (2 100 mg  
Succinylcholine 20 20 mg  
mg/mL 5 mL syringe  
PARALYZING AGENT  
Normosol R 7.4 250 mL

**Blood Products**  
None

**Intraprocedure I/O Totals**  
None

**Responsible Staff**  
03/23/22  
Name Role Begin End  
3 results





# An Example

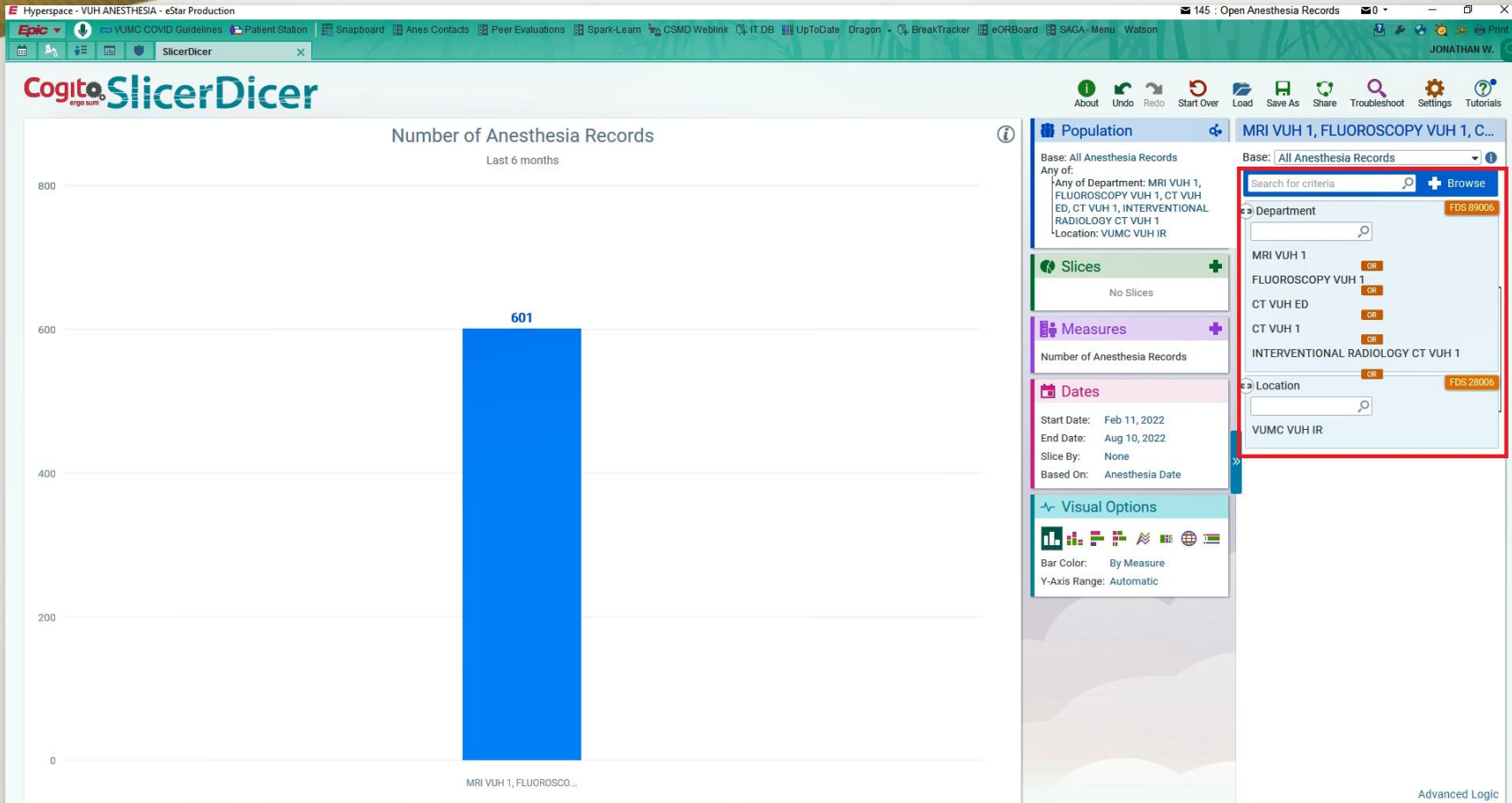
- What's the NORA acuity like over the past 6 months, aside from GI?
  - Defined as
    - Interventional radiology
    - Fluoroscopy
    - MRI
    - CT (ED, main hospital)

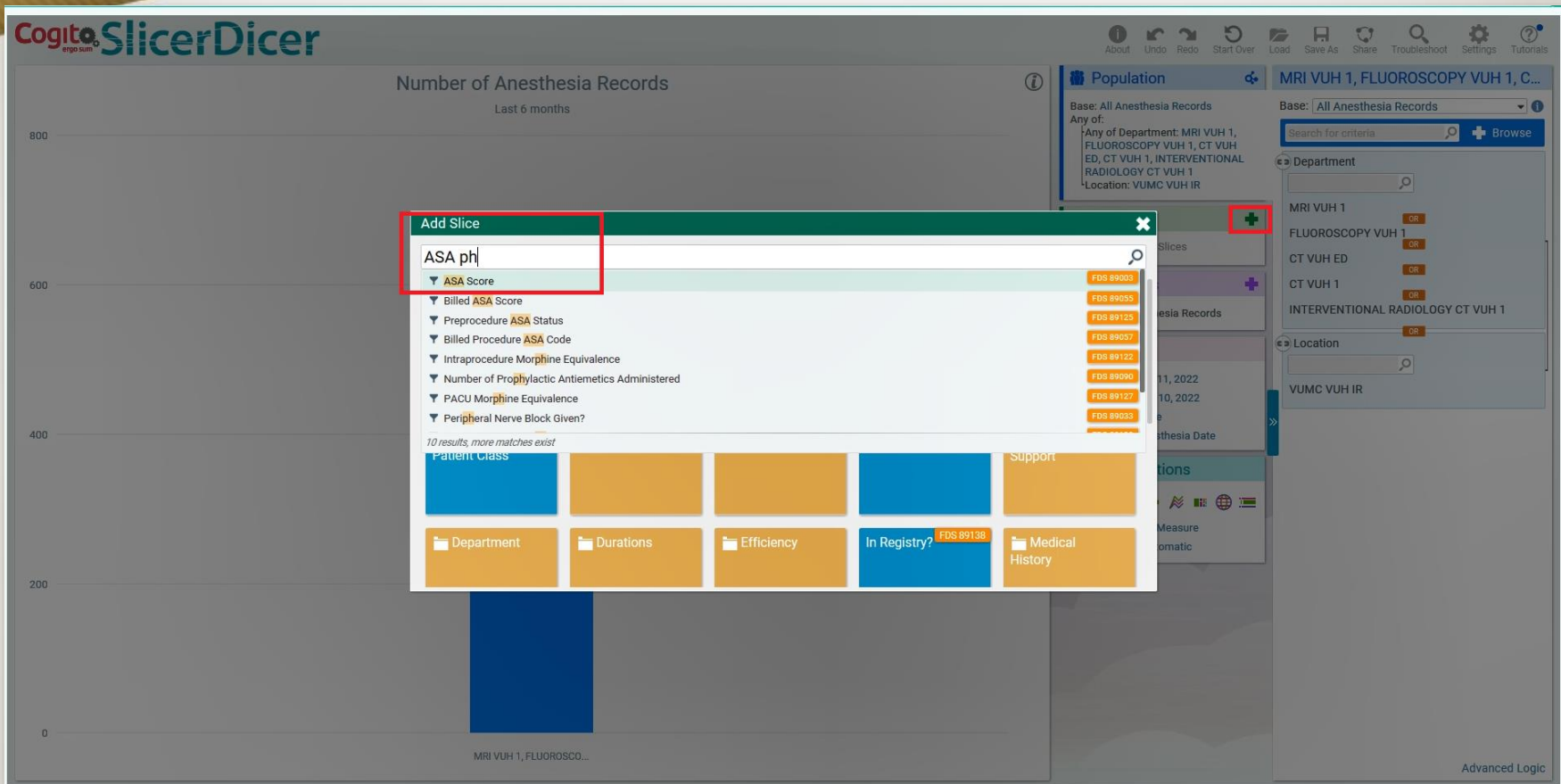


Caboodle



Slicer  
Dicer



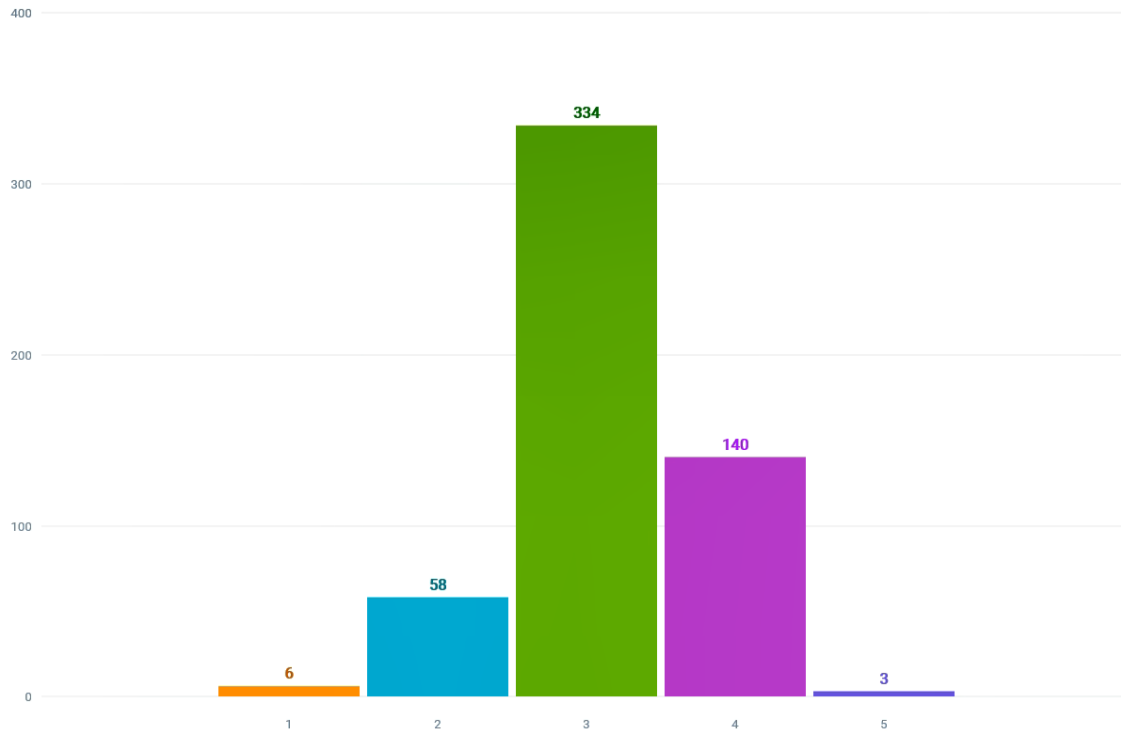




Cogito ergo sum SlicerDicer

## Number of Anesthesia Records by Billed ASA Score

Last 6 months



About Undo Redo Start Over Load Save As Share Troubleshoot Settings Tutorials

## Population

Base: All Anesthesia Records

Any of:

Any of Department: MRI VUH 1,  
FLUOROSCOPY VUH 1, CT VUH  
ED, CT VUH 1, INTERVENTIONAL  
RADIOLOGY CT VUH 1  
Location: VUMC VUH IR

## Slices

5 Slices by Billed ASA Score

## Measures

Number of Anesthesia Records

## Dates

Start Date: Feb 11, 2022

End Date: Aug 10, 2022

Slice By: None

Based On: Anesthesia Date

## Visual Options

Bar Color: 5 Slices by Billed ASA...

Y-Axis Range: Automatic

## Slice by Billed ASA Score

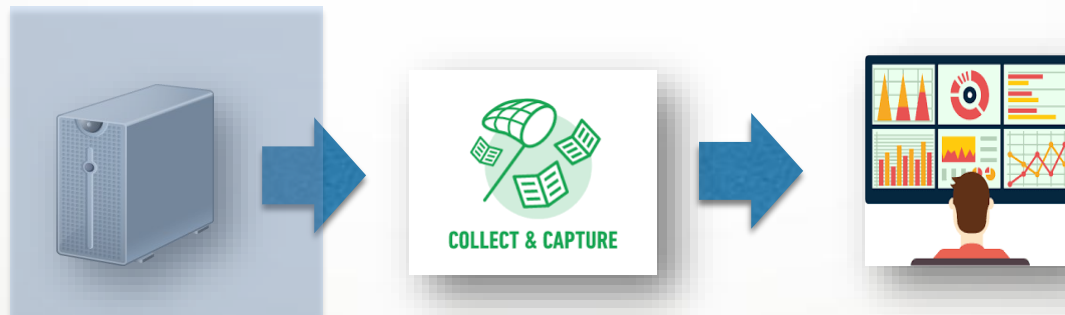
FDS \$9055

- ☐ 1  
☐ 2  
☐ 3  
☐ 4  
☐ 5

+ Compare to rest of population

# Roadmap

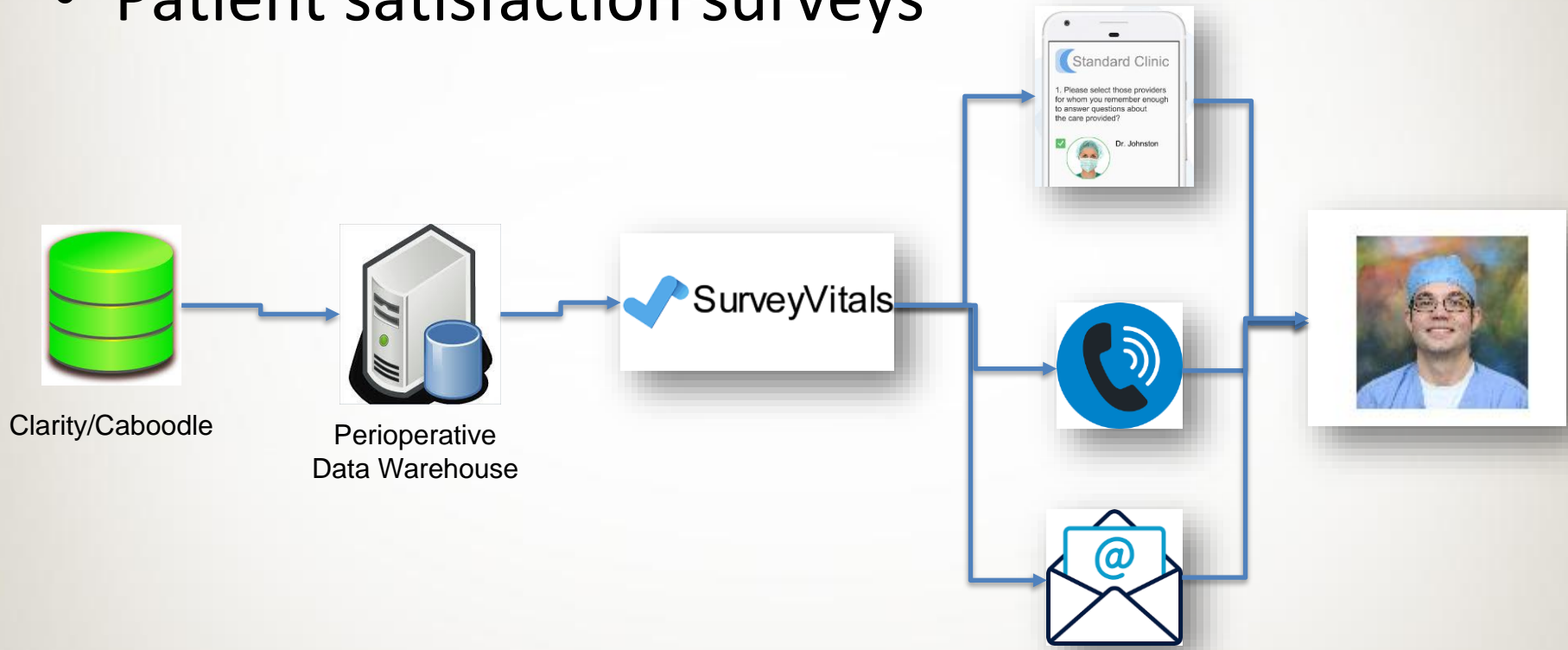
- How to get quality data out of your EHR
- Systems for quality data capture
- Review of NORA “big data” analyses





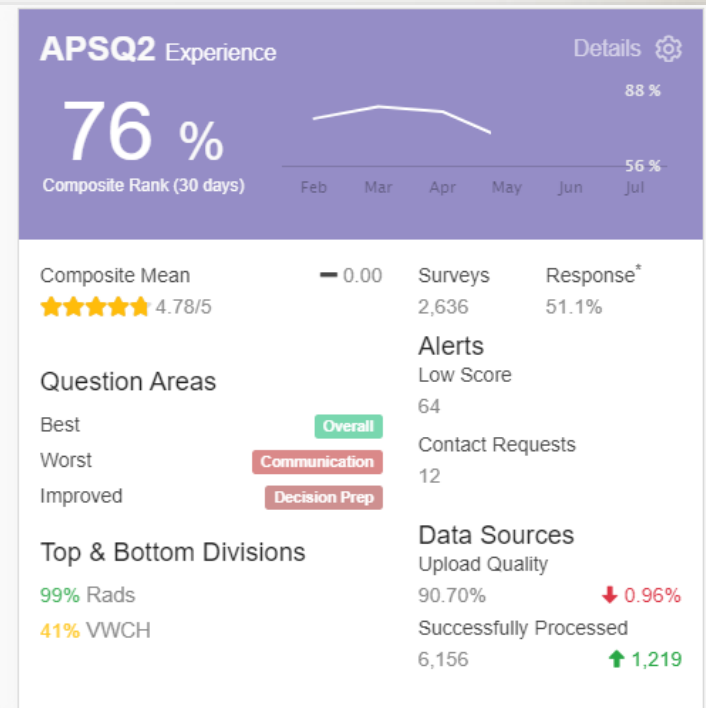
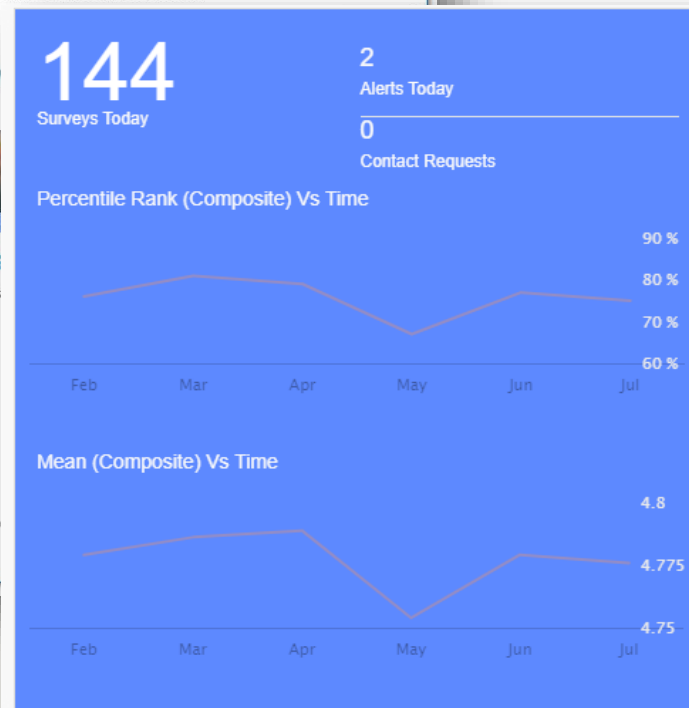
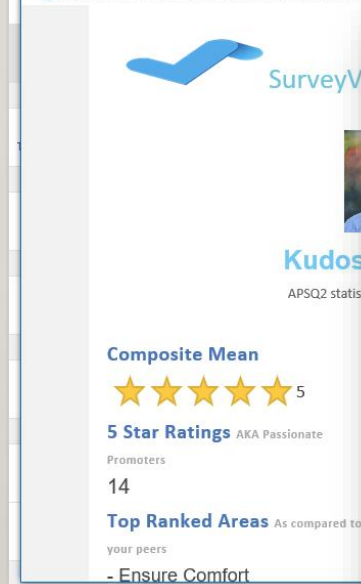
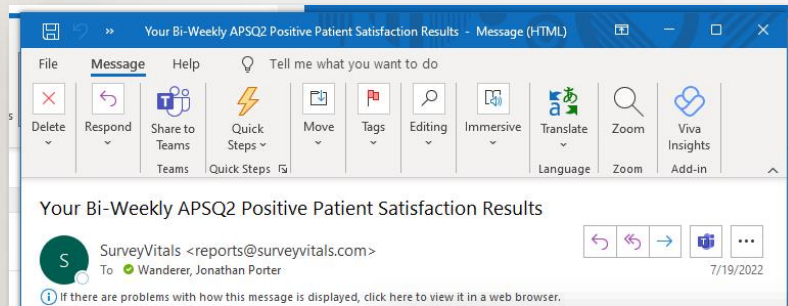
# Data from Patients

- Patient satisfaction surveys



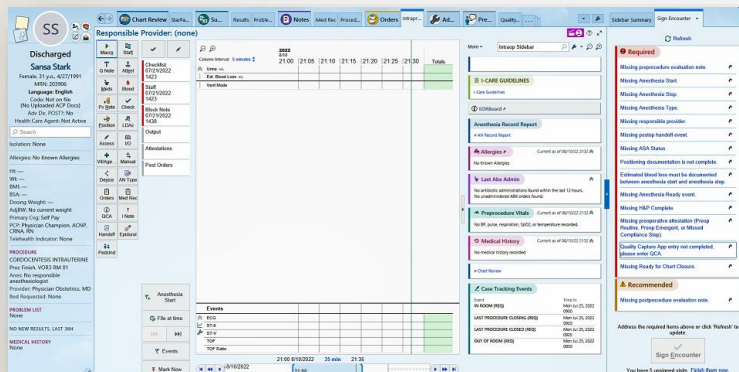


# Data from Patients

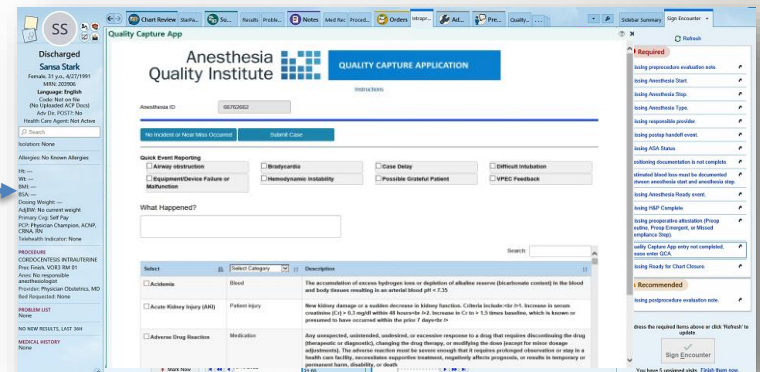


# Non-EHR Data from Providers

- Quality Capture Application



The screenshot shows the Epic EHR interface for a patient named Sena Stark. It includes a patient summary, a list of orders, and a detailed view of the patient's medical history and current status. The interface is complex with many tabs and fields.



The screenshot shows the Anesthesia Quality Institute Quality Capture Application interface. It includes a patient summary, a list of orders, and a detailed view of the patient's medical history and current status. The interface is complex with many tabs and fields.

Quality Capture App entry not completed,  
please enter QCA.

Requirement  
completed?



Epic Database



QCA Database

Details of  
event



# Non-EHR Data from Providers

- QCA Dashboard, NORA Filtered



Quality Capture App

Service Date	Medical Record Number	Primary Surgeon	OR Site	Primary Anes Attending	Patient Sex	Patient Age	combined Outcome Name	Rank	Outcome Category
7/25/2022			GI	Jonathan, Wanderer	Male	50	Possible aspiration as pt had new O2 requirement and pleuritic chest pain following uneventful procedure—see attending postop note for details. ; Aspiration	1	Aspiration
			GI	Jonathan, Wanderer	Male	77	Called to room for LAC IV infiltration at time of induction and difficult IV access; I placed a RAC PIV under U/S.; IV Infiltration	3	IV infiltration
			GI	Jonathan, Wanderer	Male	73	Called to room to assist with airway rescue; per CRNA SpO2 was in 70s although not reading when I arrived. Able to mask ventilate with oral airway. SpO2 was in 80s when reading obtained and rose to 97% quickly. Continued with...	3	Airway obstruction
7/22/2022			GI		Female	47	Please disregard the QI report submitted for this patient and case. It was submitted in error - Lusine Danakian; Equipment/Device Failure or Malfunction	1	Equipment/Device Failure or Malfunction
							Pulse oximeter (module, not the cable or sensor) failed during this patient's procedure. We switched to a portable monitor after trouble-shooting the failure. There was no apparent harm done, however, this failure occurred in the ...	1	Equipment/Device Failure or Malfunction
			GI		Female	55	Pulse oximeter module failed during complex case with LVAD patient. With troubleshooting, we determined the module itself had failed, and not the cable or the probe. Once we determined the absence of pulse ox reading was ...	1	Equipment/Device Failure or Malfunction
			GI		Female	74	Patient arrived from the floor with 3 PIVs. RUE was flushed by RN in GI preop and 5% albumin given via that IV. After completion of albumin, RUE was noted to be taught and swollen. MD examined IV under ultrasound and found to b...	3	IV infiltration
7/18/2022			VUH IR SUITE		Female	68	minor bruising at sight of eye tape, bilateral	5	not specified
							Airway obstruction	3	Airway obstruction

Surgery Date: 8/3/2022 to 8/8/2022

OR Site: (Multiple values)

Event Severity: (All)

Outcome Name: (All)





Perioperative  
Data Warehouse

# Use a Data Model

- Automated system that retrieves EHR data for postoperative outcomes, detecting
  - PONV
  - Reintubation
  - Care escalation
  - Positive troponin
  - Acute kidney injury
  - Rapid response calls
  - In-hospital mortality
- Feedback provided
  - Weekly summary email

Here are your patients' outcomes:

Surgery Date	Description	Length Of Stay	Patient Outcomes
11/15/2016	64yo F with Gamboa	No d/c found	RIFLE Risk Within 07 Days
11/15/2016	34yo F with Wiese	0.31	
11/15/2016	31yo F with Bream	No d/c found	Repeat Anesthetic Within 7 Days; RIFLE Risk Within 07 Days
11/15/2016	70yo F for THROMBOENDARTERECTOMY, W/PATCH GRAFT; CAROTID, VERTEBRAL, SUBCLAVIAN, BY NECK INCISION (35301)(35301) with Garrard	No d/c found	PACU PONV; PACU PONV Rx
11/15/2016	70yo F for ABDOMINAL TUMOR EXCISION & DEBULKING (49205) (49205) with Idrees	No d/c found	
11/15/2016	69yo F for EXPLORATORY LAPAROTOMY (49000)(49000) with Garrard	No d/c found	Death in Hospital; RIFLE Injury Within 07 Days; RIFLE Risk Within 07 Days
11/15/2016	59yo M for LAPAROTOMY FOR OPEN ABD (49002)(49002) with Hopper	No d/c found	Repeat Anesthetic Within 7 Days
11/15/2016	56yo M for EXPLORATORY LAPAROSCOPY (49320)(49320) with Idrees	0.33	Elevated PostOperative Troponin within 7 days

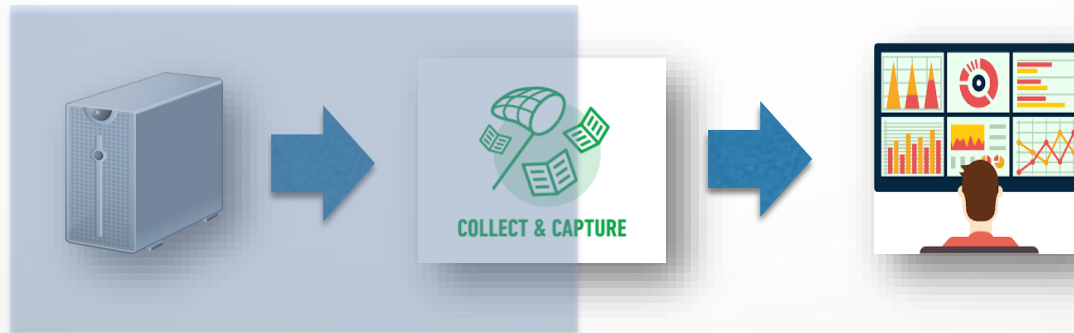
For detailed information on your cases' outcomes, please visit the [Patient Outcomes Dashboard](#)

This automated email was generated by Vanderbilt Anesthesiology and Perioperative Informatics Research. Contact [VAPIR Tech Support](#) for assistance.



# Roadmap

- How to get quality data out of your EHR
- Systems for quality data capture
- Review of NORA “big data” analyses





# NACOR

- AQI chartered in 2008 by ASA to develop the National Anesthesia Clinical Outcomes Registry
- Millions of cases from thousands of practices
  - **“Mile wide”**
- Minimal Data Elements
  - Staff information
  - Anesthesia dates/times
  - Patient characteristics
  - Procedure codes
  - Anesthesia type
  - Diagnosis codes
  - Payment type
  - **“Inch deep”**

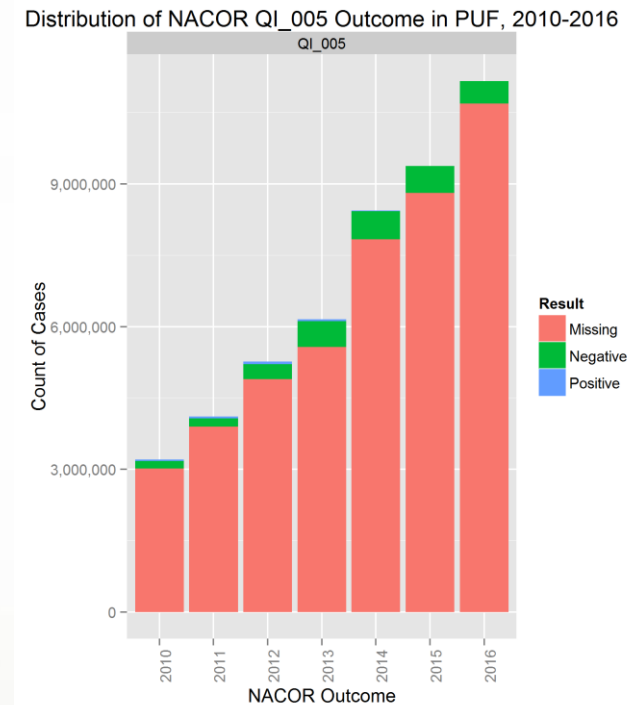
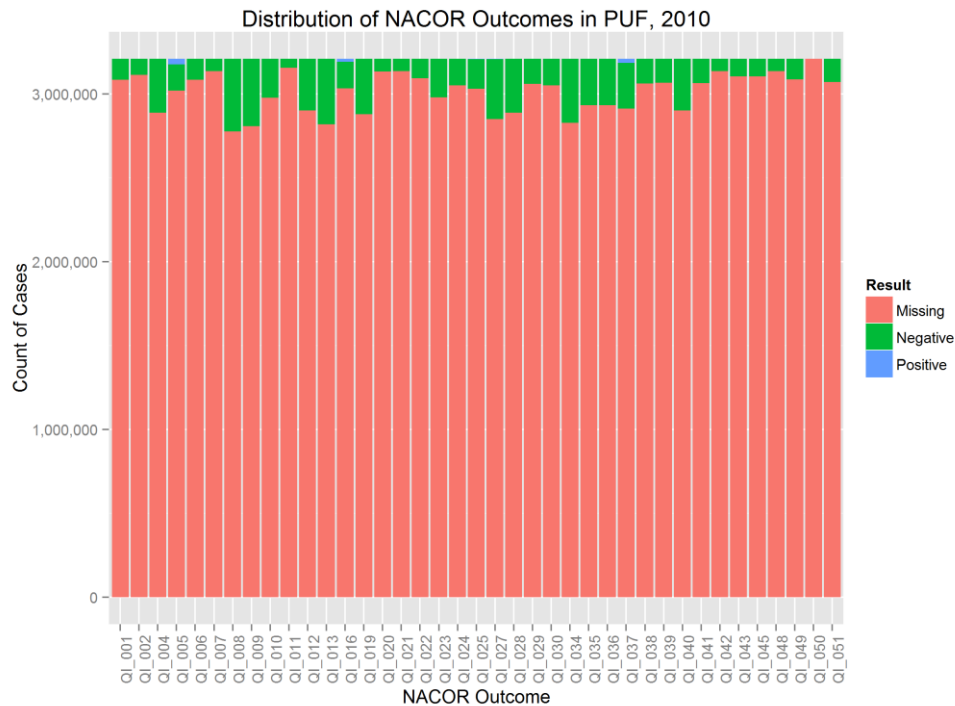
NACOR Minimum Data Fields

Data Element	Location in XML Schema	NACOR (Designated QR or QCDR)	Qualified Registry	Qualified Clinical Data Registry
Unique Anesthesia Episode of Care ID	<AnesthesiaRecordID>	✓	✓	✓
Staff ID	<StaffID>	✓	✓	✓
Staff Role (MD, DO, CRNA, AA)	<StaffRole>	✓	✓	✓
Staff NPI Number	<NPI>	✓	✓	✓
Facility ID	<FacilityID>	✓	✓	✓
Date of Service	<AnesthesiaStartTime>	✓	✓	✓
Anesthesia Start Time	<AnesthesiaStartTime>	✓	✓	✓
Anesthesia End Time	<AnesthesiaEndTime>	✓	✓	✓
Patient Gender	<Gender>	✓	✓	✓
Patient age or Date of Birth	<DOB> or <Age>	✓	✓	✓
Anesthesia Type (General, MAC, etc.)	<AnesthesiaCategory>	✓	✓	✓
ASA Physical Status (E Designator when appropriate)	<ASAClass>	✓	✓	✓
Surgical CPT Code	<CPTValue>	✓	✓	✓
Payment Code (i.e., Medicare)	<PaymentCode>	✓	✓	✓
PQRS Measure Number *	<QCDRMeasure>		✓	✓
ASA Measure Number	<QCDRMeasure>			✓
PQRS Measure Code* (i.e., 0581F)	<QCDRCodeValue>		✓	✓
ASA Measure Code (i.e., ASA10N)	<QCDRCodeValue>			✓
Modifier (1P or 8P, if applicable)*	<QCDRModifier>		✓	✓
Denominator Exclusion *	<QCDRDenomExclusion>		✓	✓
ASA CPT Code (If billing vendor does not collect Surgical CPT codes ok to send ASA CPT code)	<CPTAnesValue>	✓	✓	✓
ICD10 (Required if part of the denominator criteria for a measure)	<ICDValue>		✓	✓

\*Note: Measures reported for Qualified Registry (QR) should use the XML QCDR Node Set



# NACOR: The outcome part



Zapf M, Grant B, McEvoy M, Wanderer JP. International Anesthesia Research Society Annual Meeting. Chicago, Illinois. 2018 Apr; An Analysis of the Utilization of Anesthesia Quality Measures using the Anesthesia Quality Institute's National Anesthesia Clinical Outcomes Registry.



# NACOR

## Strengths

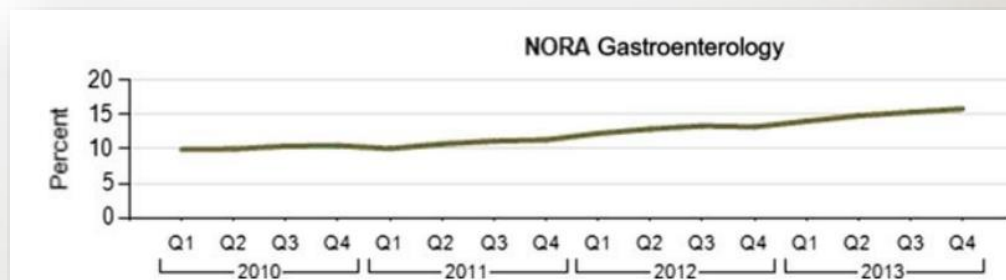
- Great capture of anesthetics across the US
- Longitudinal data
  - (10+ years)
- Represents different types of practices
- Supported by the ASA

## Limitations

- Significant missingness for some data elements
- Not much granular data
- Data is variable by practice

# NORA Complications

- NACOR 2010-2013
  - 12,252,846 cases
  - Most common complication PONV (1.06%)
  - Lower overall mortality than OR locations (0.02% vs 0.04%,  $P < 0.0001$ )
  - Higher mortality in cardiology and radiology (0.05%)
  - Growth in GI cases

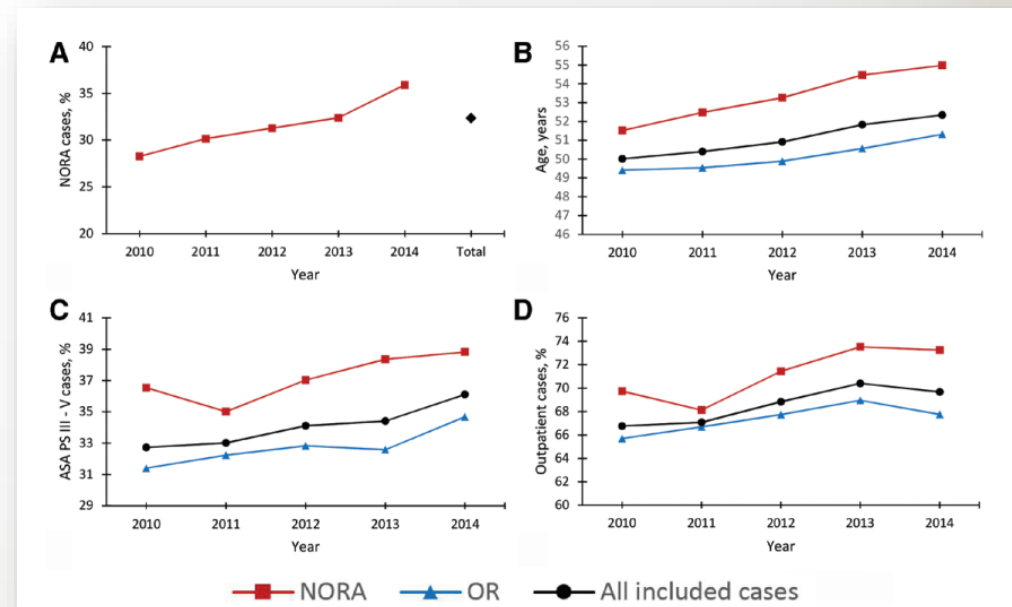






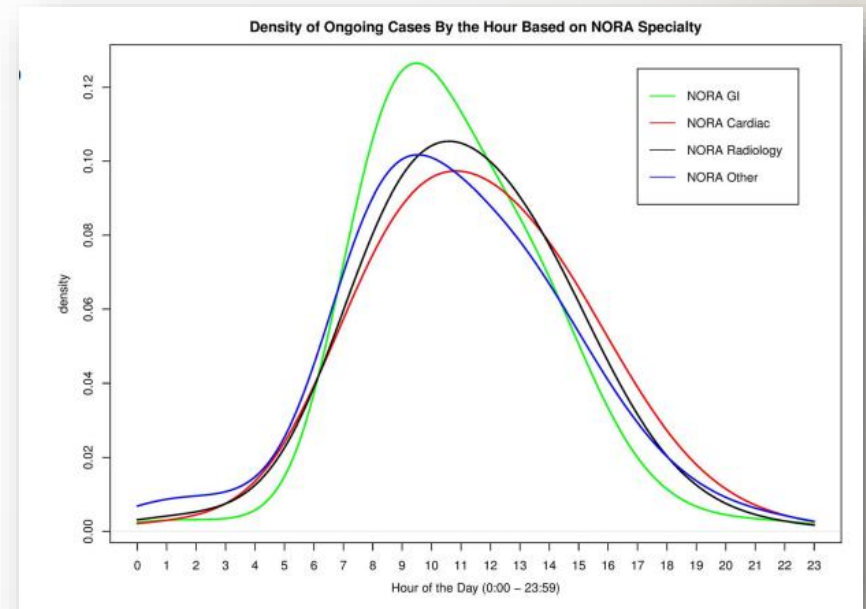
# Growth of NORA

- NACOR 2010-2014
  - 5,929,953 NORA; 12,387,574 OR cases
  - Older patients
  - Sicker patients
  - More outpts
  - More NORA



# NORA By Day and Night

- NACOR, 2010-2015
  - 4,948,634 cases
  - 4.3% during after hours
    - Higher ASA scores
    - Longer cases
  - GI cases least frequent





# The Conclusion Slide

- You too can get useful data out of your EHR
- You can do much more with modeled data
- You can get useful data from systems interfaced with your EHR
- NACOR can tell us a bit about NORA



# Thanks!

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